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**CERTIFICATE OF MAILING**  
**37 C.F.R. § 1.8**

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Date

Fani Malikouzakis  
Name: Fani Malikouzakis

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : William W. LEE et al.  
Serial No. : 09/975,690  
Filed : October 11, 2001  
For : SMART GENERATOR  
Art Unit : 2153  
Examiner : Unknown

December 18, 2001

Hon. Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

**RESPONSE TO NOTIFICATION TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION**

Sir:

This is in response to a Notice to File Missing Parts of Nonprovisional Application dated October 25, 2001.

Applicants enclose herewith the following.

1. Executed Declaration/Power of Attorney form;
2. Executed Assignment form;
3. Assignment Recordation Cover Sheet - PTO FORM 1595;
4. 15 Sheets of Drawings (Figures 1 - 17);
5. Check for \$40.00 to cover the recordation of Assignment;
6. Check for \$130.00 to cover the cost of filing a Declaration after filing the application; and,
7. Copy of Notice to File Missing Parts of Nonprovisional Application dated October 25, 2001.

Applicants hereby authorize the Commissioner to deduct this fee and any missing or insufficient fee from deposit account 500624.

Respectfully submitted,

FULBRIGHT & JAWORSKI, L.L.P.



By: C. Andrew Im  
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#3

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/975,690	10/11/2001	William W. Lee	NY-THEOR 203.1-US

CONFIRMATION NO. 2373

24972  
FULBRIGHT & JAWORSKI, LLP  
666 FIFTH AVE  
NEW YORK, NY 10103-3198

## FORMALITIES LETTER



\*OC000000006969958\*

Date Mailed: 10/25/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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A copy of this notice **MUST** be returned with the reply.

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PART 2 - COPY TO BE RETURNED WITH RESPONSE

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